

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	Group Art Unit:
)	
Yi LU)	Examiner:
)	
Application No.)	
)	
Filed:)	
)	
For: Nucleic Acid Enzyme Biosensors for)	
Ions)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the

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Page 2

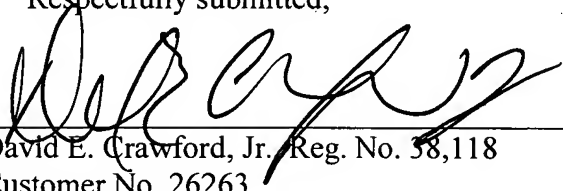
Each item of information contained in this information disclosure statement was cited in parent application serial number 09/605,558. Copies of these references may be found in parent application serial number 09/605,558.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Dated: 06 NOV 03

By: _____


David E. Crawford, Jr. Reg. No. 38,118
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				Application Number	
				Filing Date	
				First Named Inventor	Yi Lu
				Group Art Unit	
Examiner Name					
Sheet	1	of	3	Attorney Docket Number	09800240-0078

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US-5,807,967	09-15-1998	Joyce et al.	
	2	US-5,580,967	12-03-1996	Joyce	
	3	US-5,459,040	10-17-1995	Hammock et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	4	WO 00/26226	05-11-2000	Yale University		<input type="checkbox"/>
	5	GB 2,339,280	01-19-2000	RiboTargets Limited		<input type="checkbox"/>
	6	WO 99/47704	09-23-1999	Jenne et al.		<input type="checkbox"/>
	7	WO 98/49346	11-05-1998	The Scripps Research Institute		<input type="checkbox"/>
	8	WO 98/27104	06-25-1998	Yale University		<input type="checkbox"/>
	9	WO 96/17086	06-06-1996	The Scripps Research Institute		<input type="checkbox"/>
	10	EP 121970	10-17-1984	Daisy Systems Holland B.V.		<input type="checkbox"/>

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Yi Lu
		Group Art Unit	
		Examiner Name	
Sheet 2	of 3	Attorney Docket No.	09800240-0078
OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11	International Search Report dated January 15, 2003, for corresponding PCT application number PCT/US01/20557.	
	12	BREAKER et al., "A DNA Enzyme that Cleaves RNA," Chem. & Biol., 1994, pp. 223-229, Vol. 1.	
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	28	LIU et al., "A Fiber-Optic Evanescent Wave DNA Biosensor Based on Novel Molecular Beacons," Anal. Chem., pp. 5054-5059, Vol. 71.	

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				Application Number		
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				First Named Inventor		Yi Lu
				Group Art Unit		
				Examiner Name		
Sheet	3	of	3	Attorney Docket No.		09800240-0078
OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
	29	MECKLENBURG et al., Anal. Chimica Acta, 1997, pp. 79-86, Vol. 347.				
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